

4-08-06 #5
1A97 Rec'd PCT/PTO 15 FEB 2006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/530,938

Confirmation No.: 9177

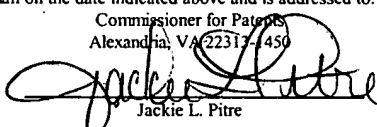
Filed: April 8, 2005

Inventor(s):

Menicanti et al.

Title: DEVICES AND
METHODS FOR MITRAL
VALVE ANNULUS
REFORMATION

§ Examiner: Unknown
§ Art Unit: Unknown
§ Atty. Dkt. No: 5838-06602
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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8	
DATE OF DEPOSIT:	February 13, 2006
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to:	
Commissioner for Patents Alexandria, VA 22313-1450	
 Jackie L. Pitre	

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C.
371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)
MAILED SEPTEMBER 12, 2005**

MAIL STOP PCT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant encloses the following in response to the Notification of Missing Requirements
of Nonprovisional Application:

- (1) Copy of Notification of Missing Requirements (2 pages);
- (2) Originally executed Declaration (3 pages); —
- (3) Form PTO-1595 Assignment Recordation Cover Sheet (1 page); —
- (4) Copy of originally executed Assignment (4 pages);
- (5) Originally executed Election Under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney (2 pages);

02/17/2006 HKAYPAGH 00000027 501505 10530938

01 FC:2617 65.00 DA

- (6) Originally executed Verified Statement (Declaration) Claiming Small Entity Status (2 pages);
- (7) Fee Authorization in the amount of \$615.00 to cover the fees for a small entity as follows (2 pages):
 - \$ 65.00 -- surcharge for late filing of declaration;
 - \$ 510.00 -- three-month extension of time fee;
 - \$ 40.00 -- assignment recordation fee; and
- (8) A return postcard.

Applicant respectfully requests a three-month extension of time to respond to the Formalities Letter mailed September 12, 2005. A fee authorization form including \$510.00 is enclosed for the extension of time fee. If any further extension of time is required, Applicant hereby respectfully requests the appropriate extension of time. If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account Number 50-1505/5838-06602/EBM.

Respectfully submitted,



Mark R. DeLuca
Reg. No. 44,649

MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.
P.O. BOX 398
AUSTIN, TX 78767-0398
(512) 853-8800 (voice) (512) 853-8801 (facsimile)
Date: February 13, 2006

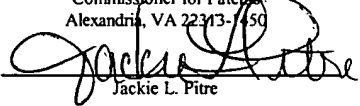
PATENT

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<p>CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8</p> <p>DATE OF DEPOSIT: February 13, 2006</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to:</p> <p>Commissioner for Patents Alexandria, VA 22313-1450</p> <p> Jackie L. Pitre</p>

FEE AUTHORIZATION

MS PCT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

The Commissioner is hereby authorized to charge the following fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account Number 50-1505/5838-06602:

\$ 65.00 -- surcharge for late filing of declaration;
\$ 510.00 -- three-month extension of time fee; and
\$ 40.00 -- assignment recordation fee

Total Amount: \$615.00

Attorney Docket No.: 5838-06602

02/17/2006 HKAYPAGH 00000027 501505 10530938

02 FC:2253 510.00 DA

Menicanti et al.
10/530,938

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number.

Respectfully submitted,



Mark R. DeLuca
Reg. No. 44,649

Patent Agent for Applicant

MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.
P.O. BOX 398
AUSTIN, TX 78767-0398
(512) 853-8800 (voice)
(512) 853-8801 (facsimile)

Date: February 13, 2006

Component

Fee Type

Total
Owed

Total Paid

Balance
Due

Application Filing Fee	150.	300.00	-150.00
Search Fee	50.	100.00	-50.00
Examination Fee	100.	200.00	-100.00
Application Size Fee	0.	0.00	0.00
Independent Claims Fee	0.	0.00	0.00
Total Claims Fee	0.	0.00	0.00
Multiple Dependent Claims Surcharge	0.	0.00	0.00
Late Oath Surcharge	65.	65.00	0.00
Miscellaneous Payments	0.	0.00	0.00
Missing English Translation Surcharge	0.	0.00	0.00
Returned Check Surcharge	0.	0.00	0.00

COMPLETED

Note: Information in this box reflects the current status of the component, NOT necessarily the status when the item below was received.

Item

Name

Additional Application Filing Fees

Mailroom Receipt Date

04/08/2006

Effective Receipt Date

04/08/2006

Select problem(s) associated with this item

Incorrect fee posting

Search Fee Missing

Search Fee Insufficient

Exam Fee Missing

Exam Fee Insufficient

Application Size Fee Missing

OK

Skip

Refresh

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Cancel

Print Screen

Last Modification

Print window image to selected printer

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06/01/2006

Adjustment Date: 06/12/2006
04/14/2006 SRAJARR 06200150 501535 10530938
01 FC:1631 323.00 CR
02 FC:1633 223.63 CR
03 FC:1641 100.00 CR

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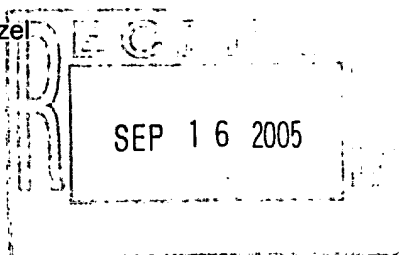
UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1430
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/530,938	Lorenzo Menicanti	5838-06602

INTERNATIONAL APPLICATION NO.	
PCT/US03/31992	
I.A. FILING DATE	PRIORITY DATE
10/08/2003	07/26/1999

Meyertons Hood Kivlin Kowert & Goetzel
 P O Box 398
 Austin, TX 78767-0398



CONFIRMATION NO. 9177
 371 FORMALITIES LETTER



OC000000016976231

Date Mailed: 09/12/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/08/2005
- Copy of the International Search Report filed on 04/08/2005
- Preliminary Amendments filed on 04/08/2005
- Information Disclosure Statements filed on 04/08/2005
- U.S. Basic National Fees filed on 04/08/2005
- Priority Documents filed on 04/08/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

Atty Dkt#: _____ Atty: _____
 Transferred ☐ Due Date: 11/12/05
 Action: 30 Day ☐ 1Mo. ☐ 2 Mo. ☒
 3 Mo. ☐ Final Action ☐ Advsy Action ☐
 Ntc of Allow ☐ Drawings ☐ Issue Fee ☐
 Other: _____
 Docketed: 9/16

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DARRELL C COTTMAN.

Telephone: (703) 308-9140 EXT 203

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/530,938	PCT/US03/31992	5838-06602